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# Open Access Policy@UniTO: a work in progress

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# Agenda



Lessons learned

ADVOCACY

Awareness

Policy

Key factors

Further steps

# University of Turin – facts and figures

2,094 faculties

- 494 full professors
- 568 associate prof.
- 1032 researchers

1,934 staff members

67,000 students

year	publications
2008	10,371
2009	10,563
2010	10,354
2011	10,457
2012	9,118
2013	3,629
In press	1,351

27 Departments

148 Courses

131 MD/postgrad.courses

33 PhD courses

# Policy

enacted  
June 17th, 2013



## UNIVERSITÀ DEGLI STUDI DI TORINO

**Direzione Ricerca, Relazioni Internazionali, Biblioteche e Musei**  
**Sistema Bibliotecario di Ateneo**  
**CB/EG**

**Decreto Rettorale n. 3960 del 01/07/2013**

**Oggetto: Regolamento di Ateneo sull'accesso aperto**

# What does it rule?

## [Art. 4]

**In order to be eligible for evaluation,  
all research products  
have to be accompanied  
by their own Open Access version.  
That version, as allowed by the publisher  
and after the embargo period stated in the  
SHERPA-RoMEO database, must be  
deposited in the institutional repository,**

**AperTO** (<http://aperto.unito.it>)

**AperTO**

# Key factors / 1

A dense collection of padlocks of various colors and sizes, many with handwritten messages, covering a surface. The padlocks are mostly brass and silver, with some blue and red ones. Some have names and dates written on them, such as 'Alexandra Yannick 2014' and 'METRI SOPRA IL CIELO'.

...a strong linkage  
between  
Open Access deposit  
and internal research  
evaluation

# What does it rule?

## Opt-out clauses:

apply for an embargo request to the Research Commission (Senate)

- **refusal by the publisher**
  - as it results in SHERPA-RoMEO
  - as officially stated
- **refusal by a co-author**
  - to be documented
- **security/privacy issues**

[Art. 4.1]

Immediate deposit /  
optional access



# Key factors / 2



...NO  
way out



# What does it state?

Publicly funded  
research outputs  
ought to be publicly  
available  
[Art. 1.1]

Intellectual  
property rights  
to enable use  
and reuse  
[Art. 1.3]

Open Access means  
opening  
academic research  
to SME and society  
[Art. 1.4]

Open Access enhances an open,  
collaborative research  
environment  
[Art. 1.2]

AperTO: also  
preservation purposes  
[Art. 1.5]

Researchers  
are invited  
to deposit  
their datasets  
[Art. 4.6]

# Key factors / 3

Commission européenne  
Europese Commissie

21.7.2012

EN

Official Journal of the European Union

L 194/39

... adherence to the  
European  
Commission  
Recommendations

## RECOMMENDATIONS

### COMMISSION RECOMMENDATION

of 17 July 2012

on access to and preservation of scientific information

(2012/417/EU)

# And the copyright?

- tools to ensure compliance with publishers' copyright agreements
- Creative Commons Licenses: to be associated when possible [Art. 4.4]

**Domande frequenti**

**Dubbi sul copyright**

- Una domanda di fondo: l'Open Access è sempre possibile?
- Come posso sapere qual è la politica di un editore?
- Cosa si intende per pre-print/post-print?
- E se il mio editore prevede un embargo?
- E se il mio editore non consente l'Open Access?
- Posso inserire in Open Access un lavoro che ho già pubblicato?
- Solo per gli editori che lo consentono, la maggior parte degli editori consente l'Open Access (AAM).
- Posso associare una licenza Creative Commons al mio lavoro?
- E se ho pubblicato su una rivista che non ha un Open Access?
- Cosa devo fare se l'editore mi chiede di firmare un mio contratto?
- E se non ho firmato alcun contratto?

**Consentito** **NON consentito**

Editore e policy	Pre-print
American Chemical Society Policy	Consentito (con permesso scritto dell'editore)
American Economic Association Policy	Consentito
American Institute of Physics Policy	Consentito
American Psychological Association Policy	Consentito
American Society for Information Science Policy	Consentito

**Domande frequenti**

**Autori Elsevier**

Prodotti con embargo già calcolato (a partire da 12 mesi)

**Riviste Elsevier**

Visualizza 10 elementi

- ACADEMIC PEDIATRICS
- ACADEMIC RADIOLOGY
- ACC CARDIOSOURCE REVIEW JOURNAL
- ACCIDENT ANALYSIS & PREVENTION
- ACCOUNTING FORUM
- ACCOUNTING, ORGANIZATIONS AND SOCIETY
- ACTA AGRONOMICA SINICA
- ACTA ASTRONAUTICA
- ACTA AUTOMATICA SINICA
- ACTA BIOMATERIALIA

Vista da 1 a 10 di 1,883 elementi

**MODIFICATION OF CONTRACT**

03/10/2013

Between [Author's contact details, hereafter named the «Author»] and [Publisher's contact details, hereafter named the «Publisher»]

The object of the present amendment is to modify and complete the contract signed on [date] between the Parties designated above regarding the publication of the work entitled: [Citation]

The Parties consider that allowing broad access to scientific and academic research work is in the general interest. Consequently, they agree by the present amendment: that the Author may deposit his/her work on the University of Turin's AperTO Institutional Repository (<http://aperto.unito.it>) and make it available to the public.

To this end, the Parties agree that the publication contract shall be modified to include the following clause regarding the copyrights granted in the licence:

\*The Publisher expressly authorizes the Author to diffuse the work on the AperTO Institutional Repository website:

From the moment of its publication

At the end of a [number] month embargo

as a:

Publisher's Pdf (version published with the publisher's layout, formatting and trademarks)

Author's Accepted Manuscript / Post-print (author's final accepted and validated version, without publisher's layout, formatting and trademarks)

To this end, the Author has the right to have his/her work reproduced in all forms, using all the techniques and platforms necessary to its archiving, distribution and use, with a view to its online diffusion and transmission via the Internet network.

The present amendment is an integral part of the publication contract as defined beforehand. It will take effect on the date it is signed by the Parties.

Drawn up in [place] on [date], ... in as many original copies as there are Parties, each of which acknowledges having received an original.

The Author [Signature] The Publisher [Signature]

Sono segnati con asterisco (\*) gli editori che non è stato possibile reperire. I rispettivi autori saranno avvisati.

# Key factors / 4

**respect of  
copyright  
agreements**



# How did we get here?

## Open Access Working Group

-a web page, [www.aa.unito.it](http://www.aa.unito.it)



-Inst

## Creation of awareness among researchers

-Op

-seminars, courses, workshops

-8 e

-Open Access

ex

## Advocacy and dialogue at institutional level

- presentation to the Senate - Research Commission (2012 and 2013)
- Commission for the new Statute (2012): art. 38

medanet  
Mediterranean Open Access Network



### Art. 38 (Principi dell'accesso aperto)

1. L'Università fa propri i principi dell'accesso pieno e aperto alla letteratura scientifica e promuove la libera disseminazione in rete dei risultati delle ricerche prodotte in Ateneo, per assicurarne la più ampia diffusione possibile.
2. L'Università, con apposito Regolamento, pone la disciplina finalizzata a dare attuazione ai principi dell'accesso pieno e aperto ai dati e ai prodotti della ricerca scientifica, incentivandone il deposito nell'archivio istituzionale e la comunicazione al pubblico, nel rispetto delle leggi concernenti la proprietà intellettuale e la protezione dei dati personali, nonché la tutela, l'accesso e la valorizzazione



UNIVERSITÀ DEGLI STUDI DI TORINO

Direzione Ricerca, Relazioni Internazionali, Biblioteche e Musei  
Sistema Bibliotecario di Ateneo

del 01/07/2013

Ateneo sull'accesso aperto



UNIVERSITÀ DEGLI STUDI DI TORINO

STATUTO

# What next?

compliance?

technical issues?

Italian publishers?

Italian Universities?

Open data?



# Lessons learned



...a work in progress  
(Penelope's canvas?)...



...IR: give faculties the services they need...



...to each stakeholder, his/her own language...



...dots and threads will connect...



...to be continued...

Thank you