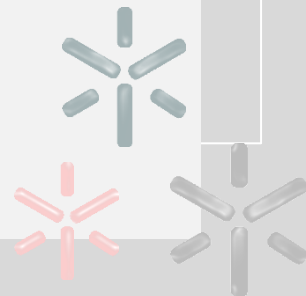




Implementing and monitoring an Open Access mandate: the Minho Experience

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Agenda

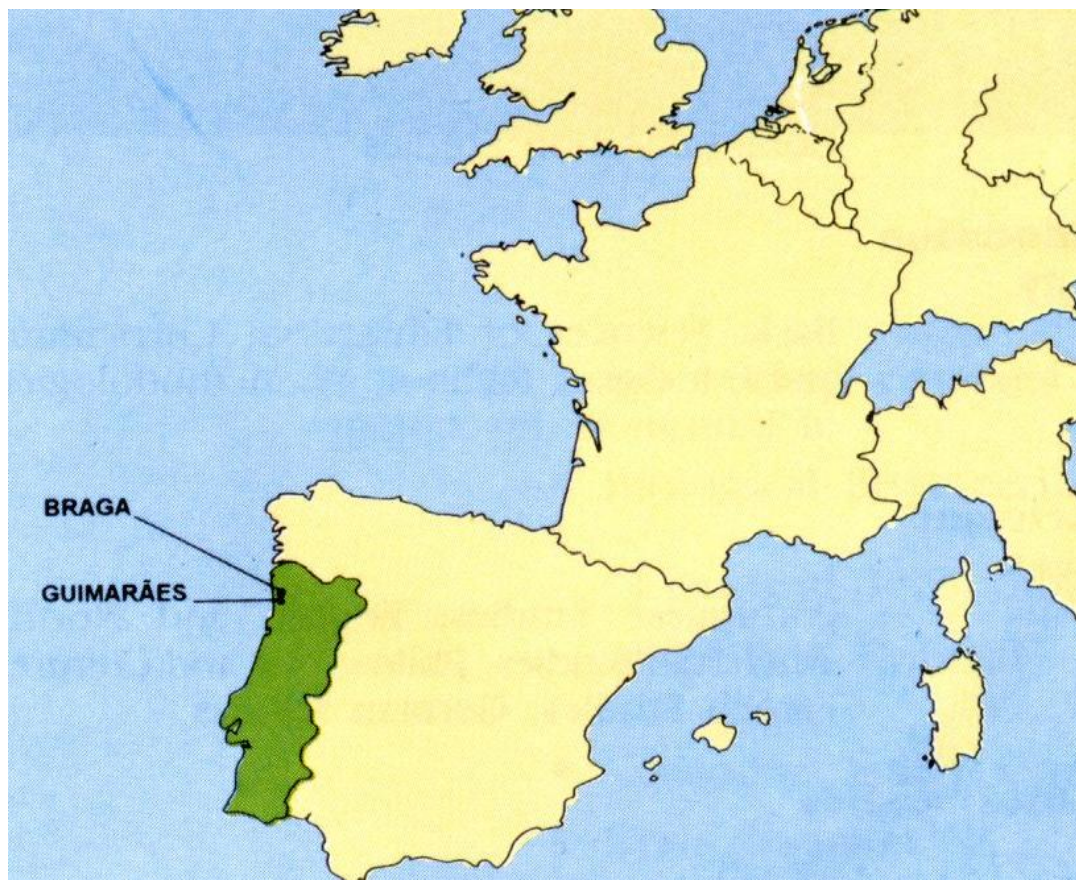


- Introduction – University of Minho
 - Open Access @UMinho
- Open Access Policy @UMinho: incentive and mandate
 - The 2004 policy
 - The policy upgrade in 2010
 - Implementation and results of 2010 policy
- Final Remarks and Future directions





Universidade do Minho





Teaching

- **53 graduate courses**
- **130 masters courses**
- **22 PHD courses**

- **18.500 students**
 - 12.300 graduate students**
 - 4.400 master students**
 - 1.900 PHD students**



Universidade do Minho

research

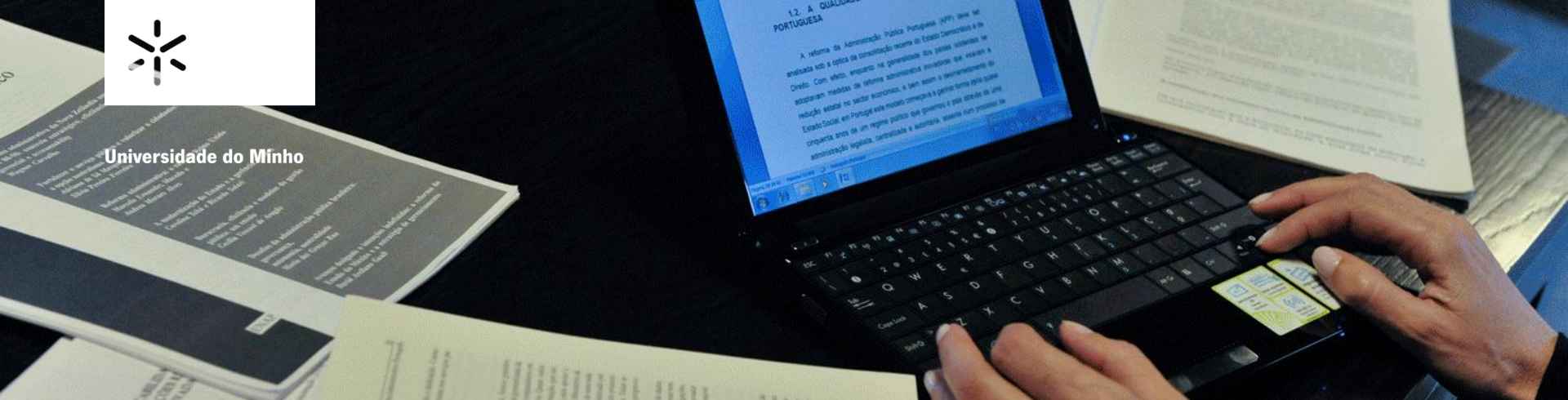
- **31 Research Centres**

65% rated Excellent, Very Good or integrated into Associated State Laboratories.

Hosts the European Institute of Excellence in Tissue Engineering and Regenerative Medicine, the only Institute of this kind to have a base in Portugal.

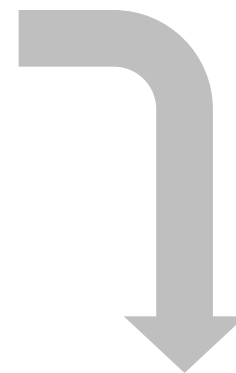


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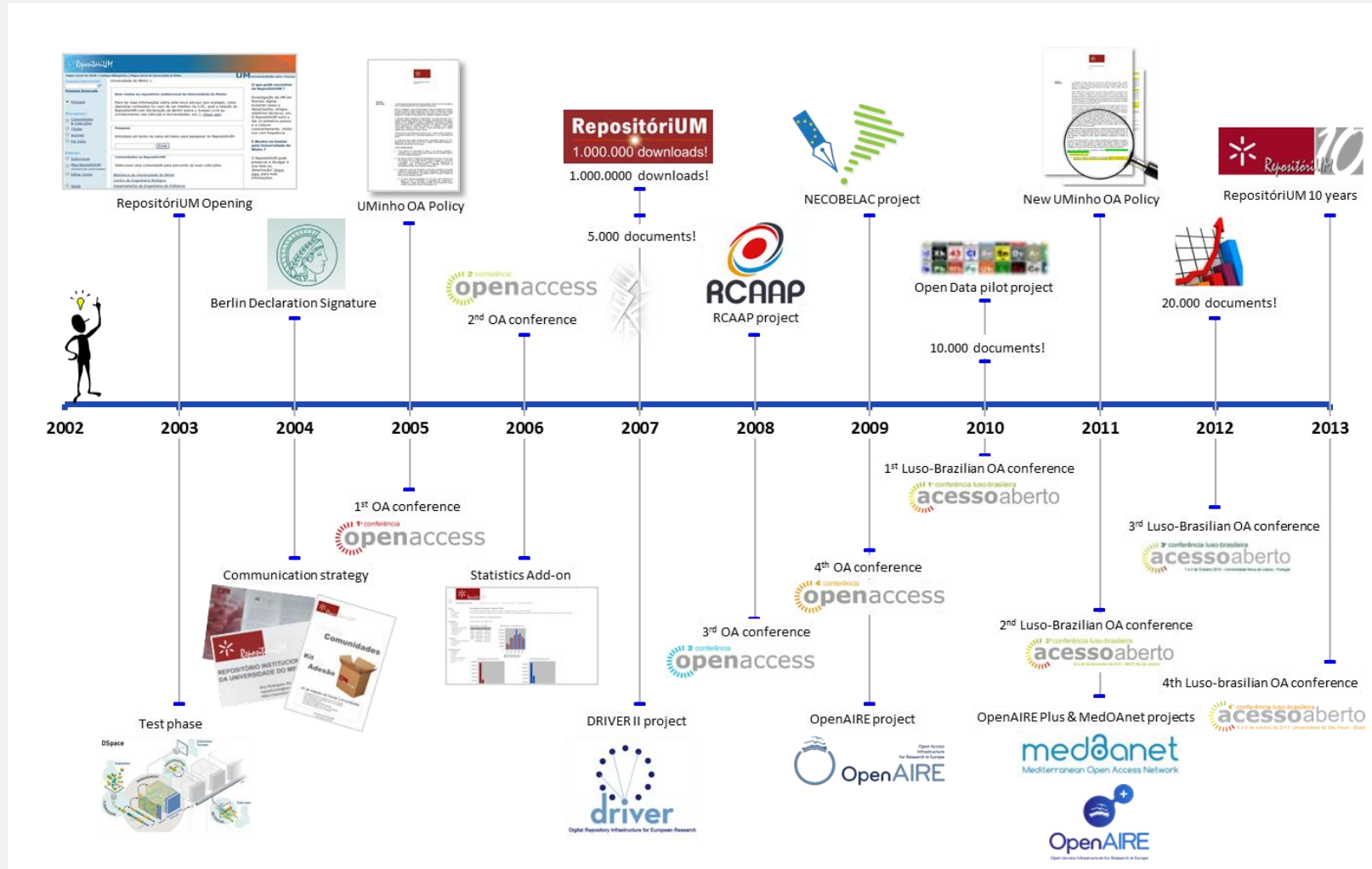
University of Minho

An Open Access pioneer [2003-2012]



RepositóriUM

UMinho Open Access Timeline

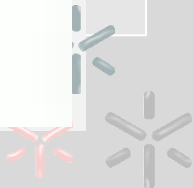


RepositóriUM (2003)



A screenshot of the RepositóriUM website as seen in Microsoft Internet Explorer. The browser title is 'Universidade do Minho: RepositóriUM - Microsoft Internet Explorer'. The address bar shows 'https://repositorium.sdum.uminho.pt/index.jsp'. The website header features the 'RepositóriUM' logo and navigation links: 'Página Principal | Serviços de Documentação | Catálogo Bibliográfico | Universidade do Minho'. On the left, there is a search box with the text 'Pesquisar RepositóriUM:' and a magnifying glass icon, followed by a link to 'Pesquisa Avançada'. Below this is a 'Percorrer:' section with links to 'Comunidades & Colecções', 'Títulos', 'Autores', and 'Por Data'. Further down is an 'Entrar:' section with links to 'Subscrever', 'Meu RepositóriUM' (noting 'utilizadores autorizados'), and 'Editar Conta'. The main content area starts with 'Universidade do Minho >' and a welcome message: 'Bem-vindos ao repositório institucional da Universidade do Minho!'. It then provides information about the service, mentioning the 'Declaração de Berlim sobre o Acesso Livre ao Conhecimento nas Ciências e Humanidades, etc.', and includes a link 'clique aqui.'. Below this is a 'Pesquisa' section with a text input field and an 'Enviar' button. On the right side, there are logos for 'eum' and 'e-U', followed by the heading 'O que pode encontrar no RepositóriUM ?' and a paragraph describing the digital content available, such as theses, dissertations, and articles. At the bottom right, there is a link 'É Mestre ou Doutor'.

UMinho self-archiving policy (2004)



UMinho self-archiving policy (2004)



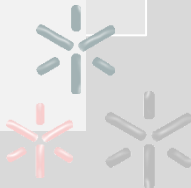
- University of Minho staff must archive their publications and documents into RepositóriUM, and make them available in Open Access whenever possible;
- The organisational units (research centres, departments) must sign and adopt self-archive policies for the scientific output of their members, based upon a general model;
- Authors of thesis and dissertations approved by the University of Minho must authorise the deposit of their thesis or dissertation into the RepositóriUM.



Self-archiving incentive



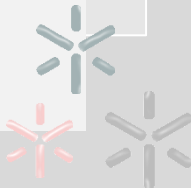
- In 2005 the Rectory provided a **financial supplement** to departments and research centres, proportional to their compliancy to the policy of Open Access self-archiving in RepositóriUM.



Self-archiving incentive



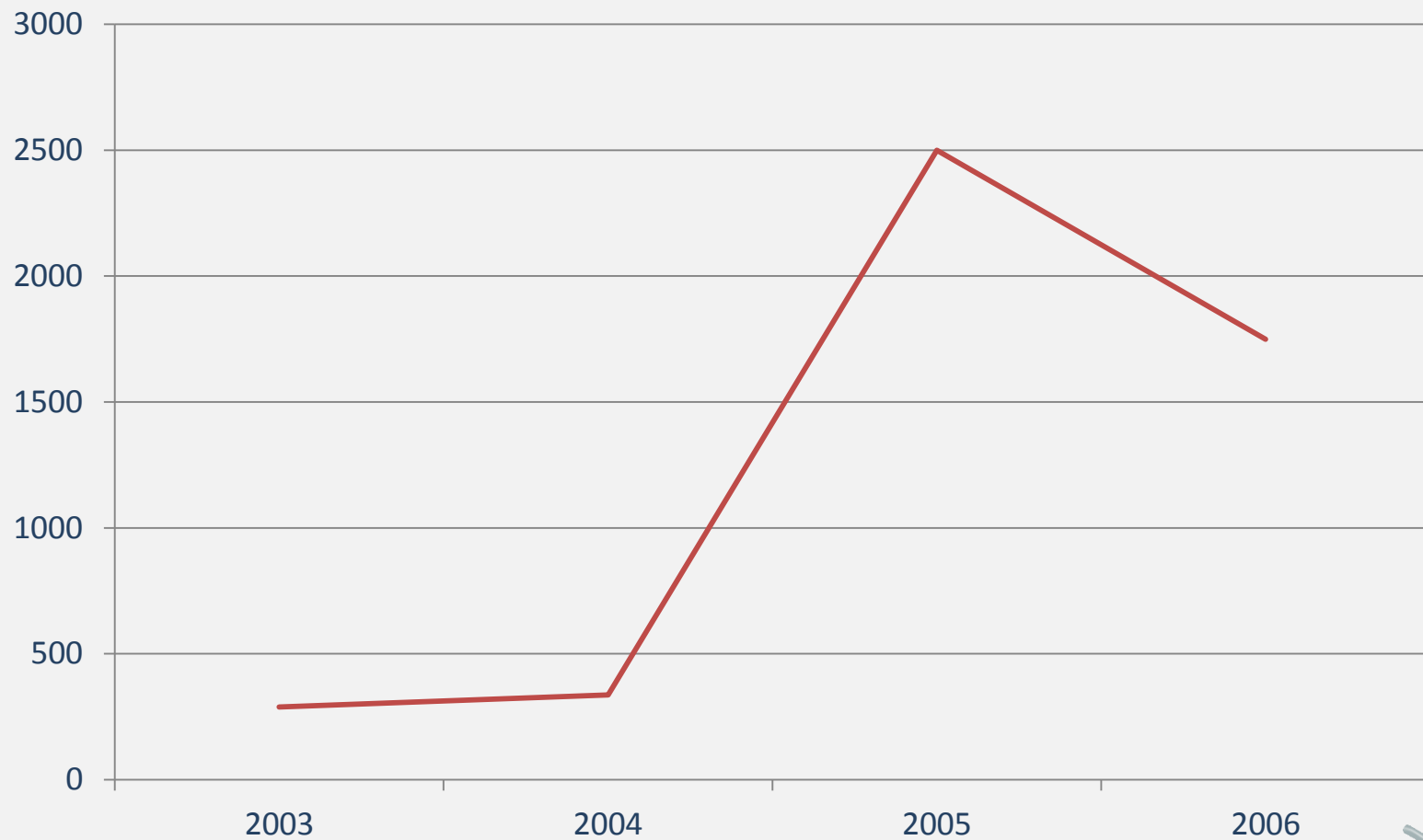
- In 2006, there was also a financial supplement, but it's value was significantly smaller (around 1/3)
- The incentive disappeared after 2006



Results



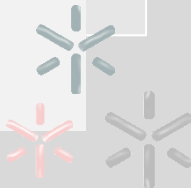
Submissions/year



But...



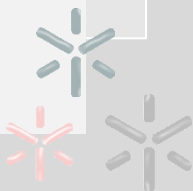
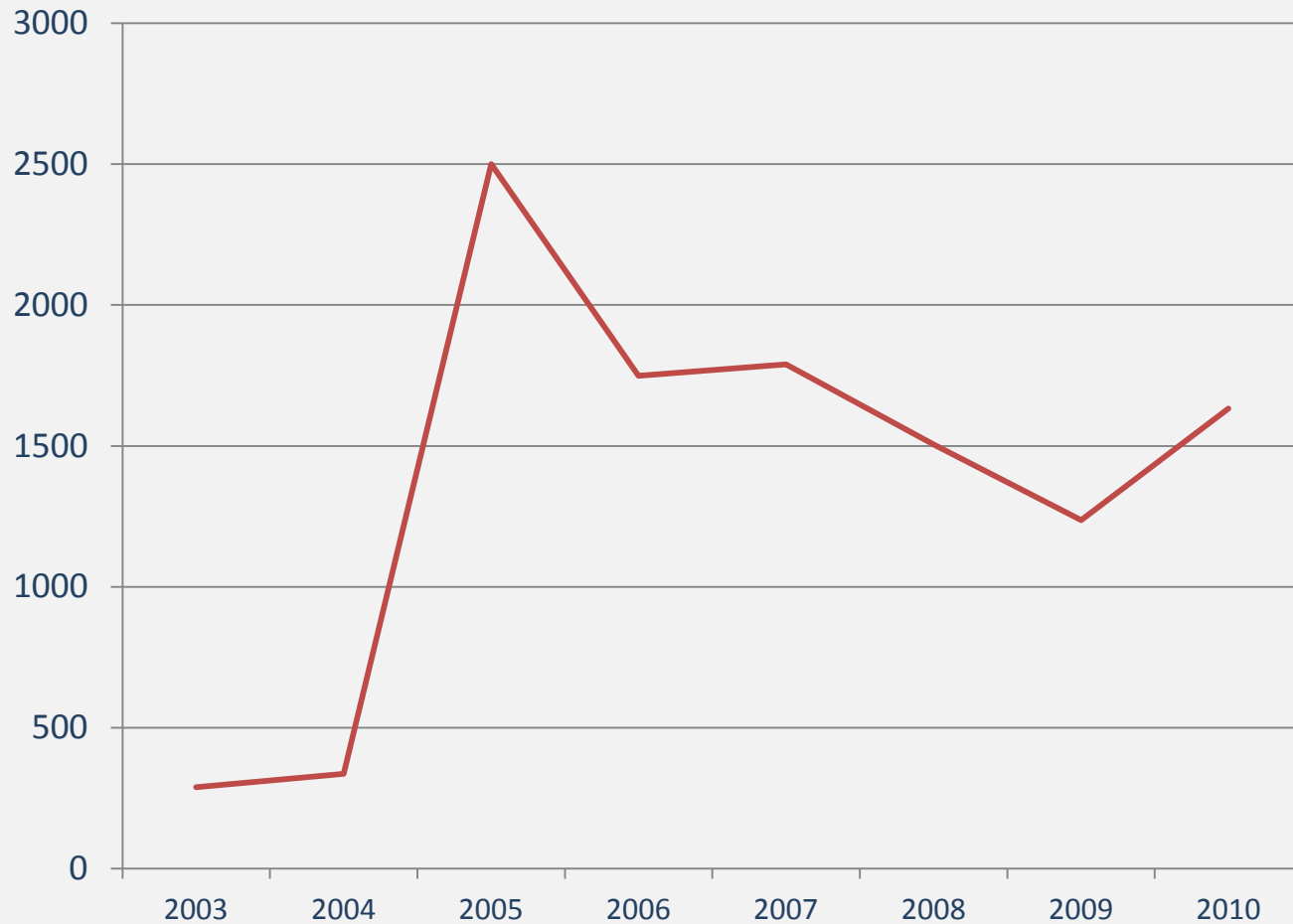
- Not having the incentive, and no enforcement /monitoring authority from 2007 to 2010...



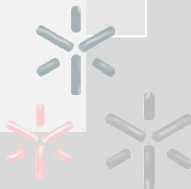
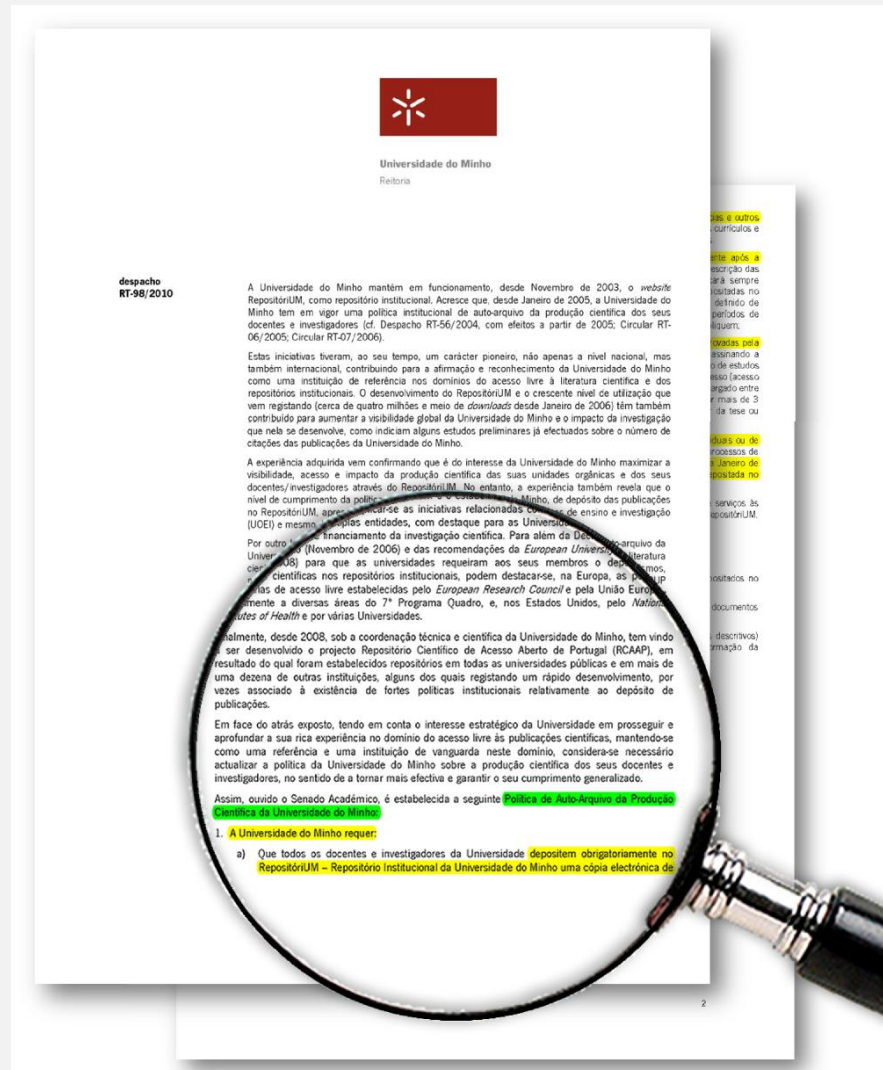
Results



Submissions/year

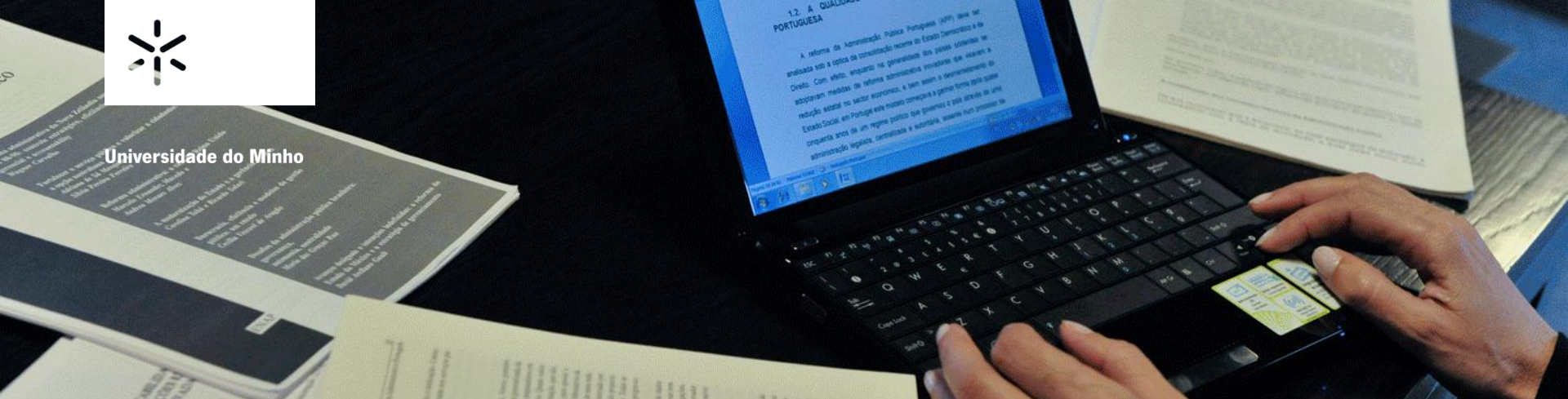


Upgrade of UMinho OA policy (2010)





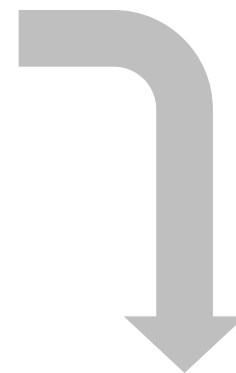
Universidade do Minho



University of Minho

An Open Access pioneer
[2003-2012]

OA was integrated in the
University Action Plan
for 2009-2013

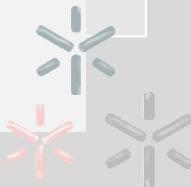


Upgrade of UMinho OA policy (2010)



Why?

- The number and percentage of UMinho research output was decreasing since 2007
- Open Access is seen as a relevant factor on the visibility strategy of University of Minho
- To continue and improve the UMinho Open Access experience, maintaining UMinho has an OA frontline institution;



UMinho upgraded policy (RT-98/2010)



Minho University requires:

All academic staff to **mandatory deposit into RepositóriUM a copy of all peer reviewed publications** dated after January 2011;

From January 2011, **all official publication lists or reports**, from individual researchers and research units, submitted internally at UMinho, **must contain a link to the version archived at RepositóriUM**



Implementation of UMinho policy



1st quarter 2011:

Roadshow on the new policy in all the Schools/Institutes [Faculties] of UMinho

Since the end of the 1st quarter 2011:

Quarterly **monitoring** of policy compliance



Policy monitoring

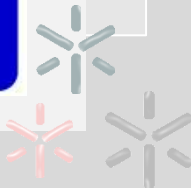


1

Use of **Internal sources** (eg. **research centers and departmental lists of publications**, etc..) and of **reference databases (WoS and Scopus)**, to identify “relevant” publications (publications with (co)author(s) affiliated to the University of Minho), plus the activation of alerts in these databases to receive notices whenever a new “relevant” publication is available.

2

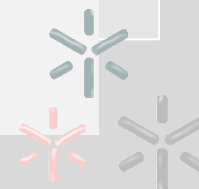
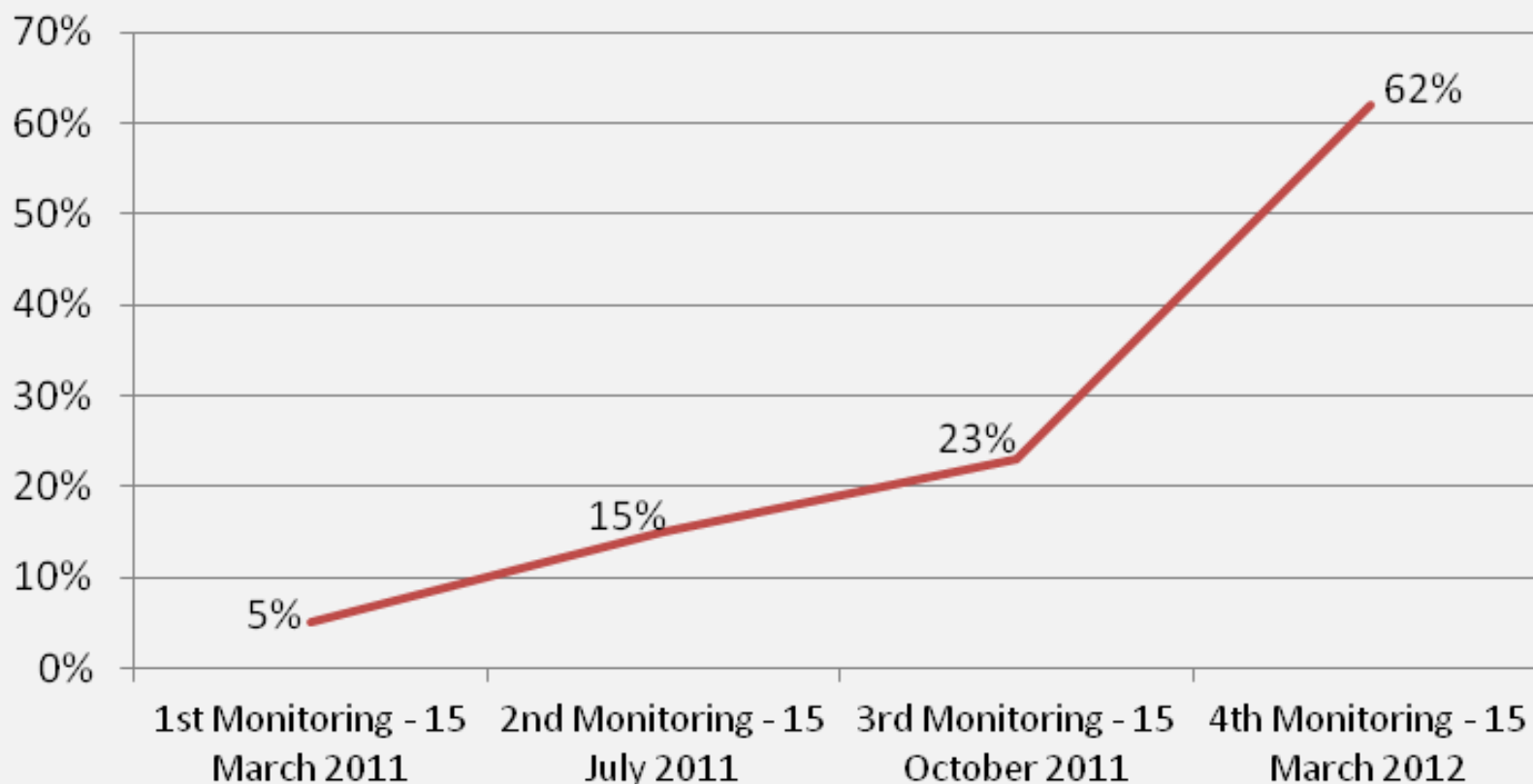
Use of **reference management software** (eg. **EndNote** and/or **Mendeley**) to compile publication lists, along with other tools (eg. groups function), to allow editing and producing lists of publications to compare with those deposited in RepositóriUM and afterwards sending them to the UMinho’s research units.



Monitoring policy compliance



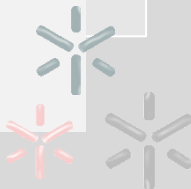
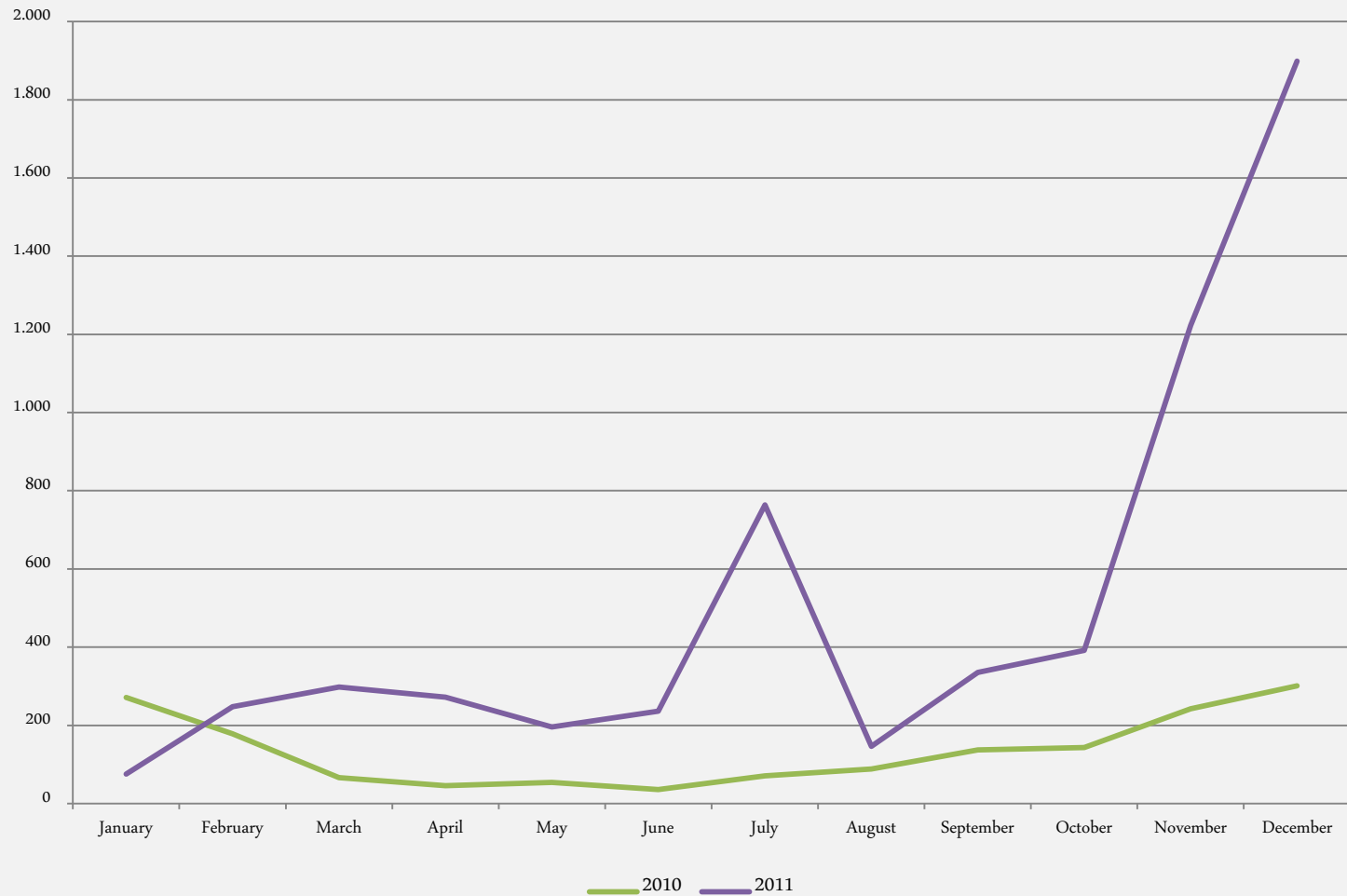
2011 monitoring



Results



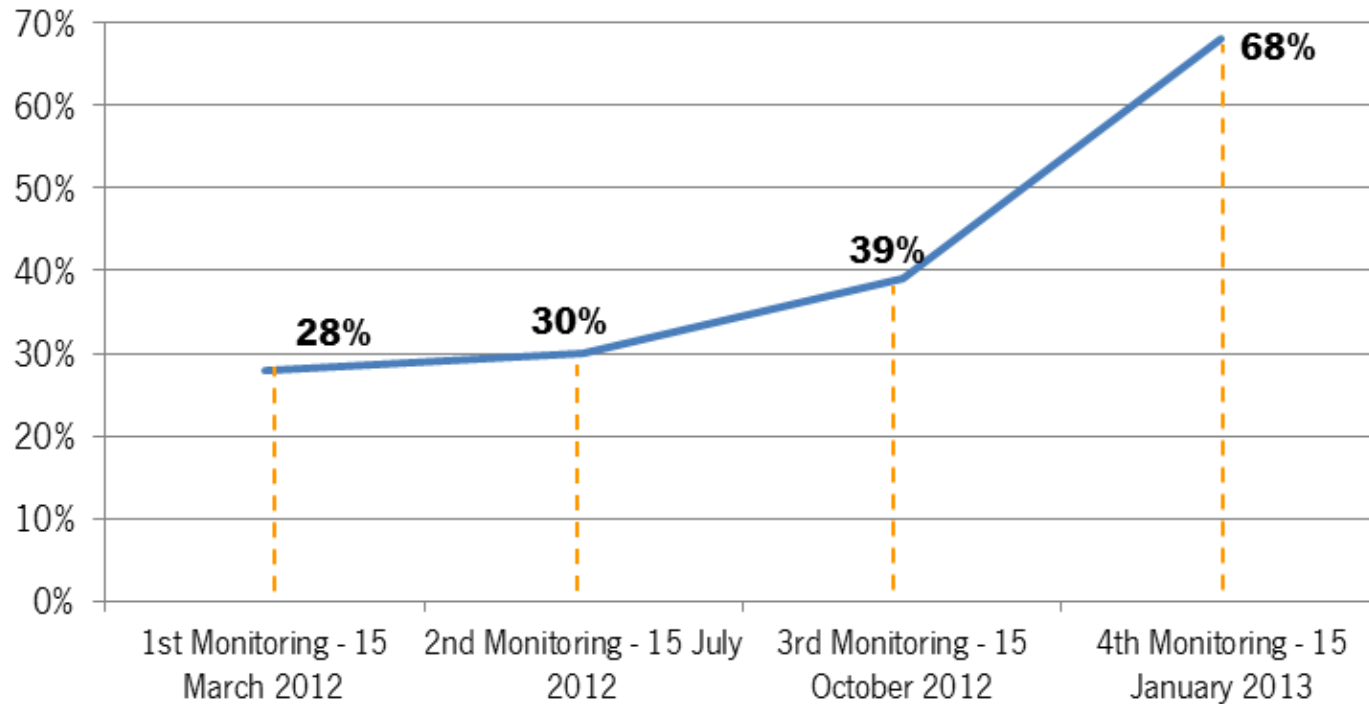
Deposited documents/ month



Monitoring policy compliance



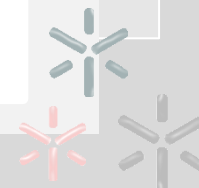
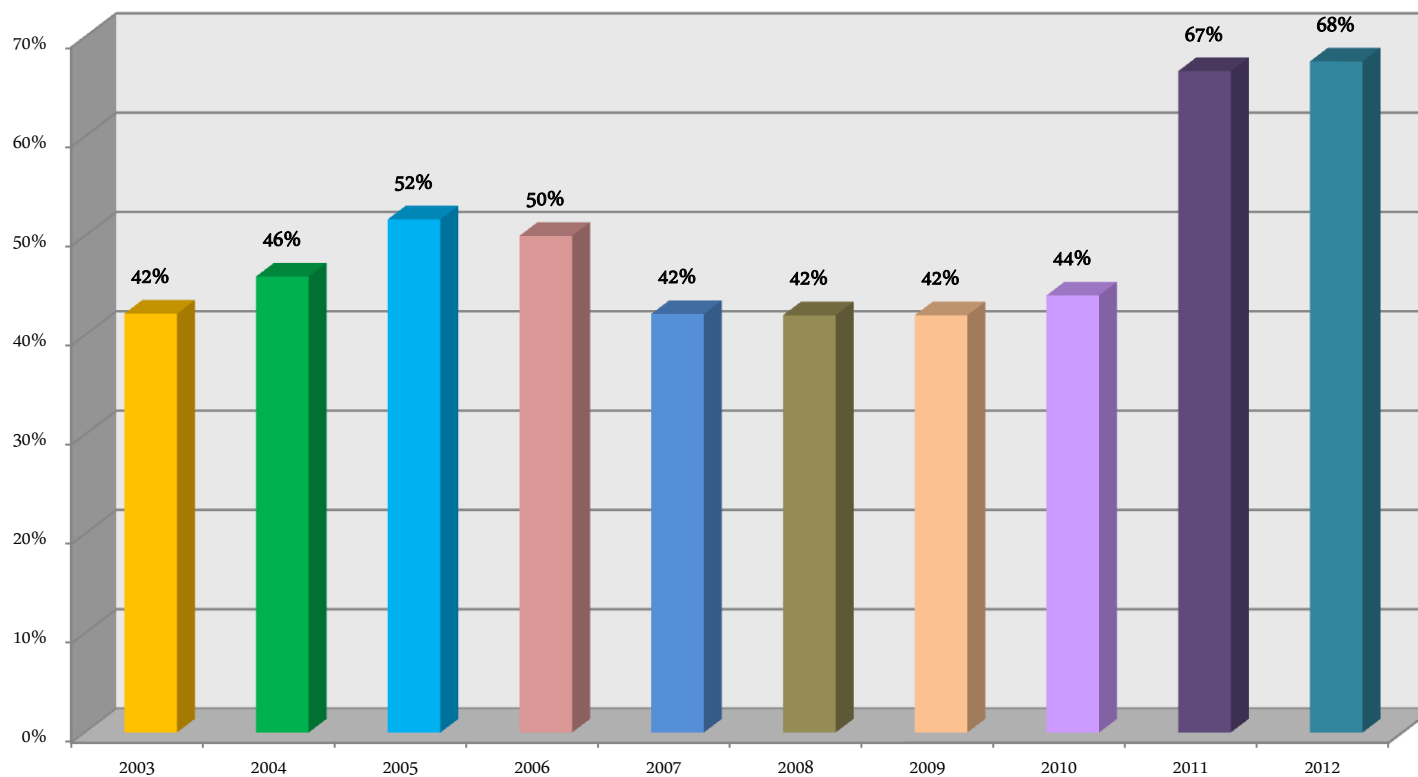
2012 monitoring



Results



Current percentage of UMinho's WoS/Scopus output on RepositórioUM



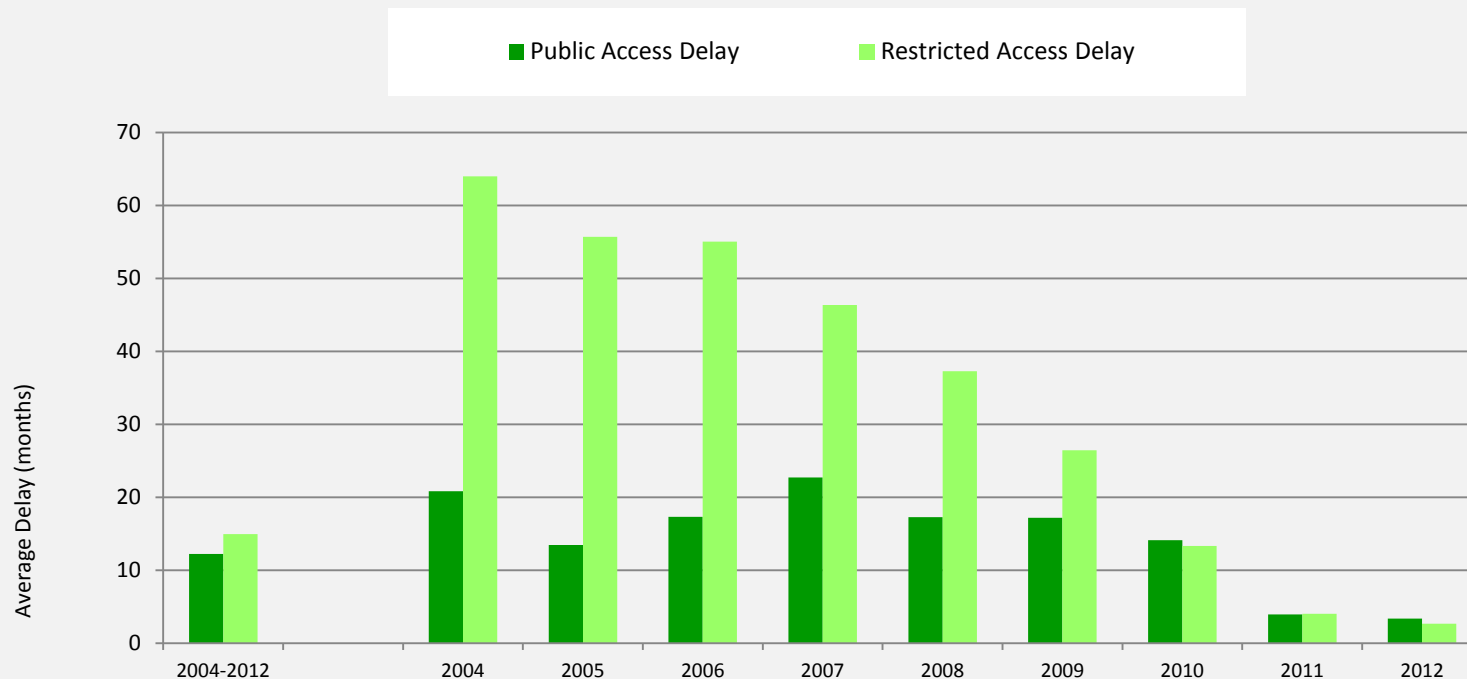
Results



DEPOSITION YEAR = 2012	PUB. YEAR 2012	PUB. YEAR 2011	PUB. YEAR 2010	PUB. YEAR 2009
NUMBER OF PUBLICATIONS	2077	1505	728	1502

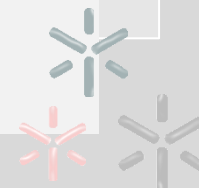


Results



3b

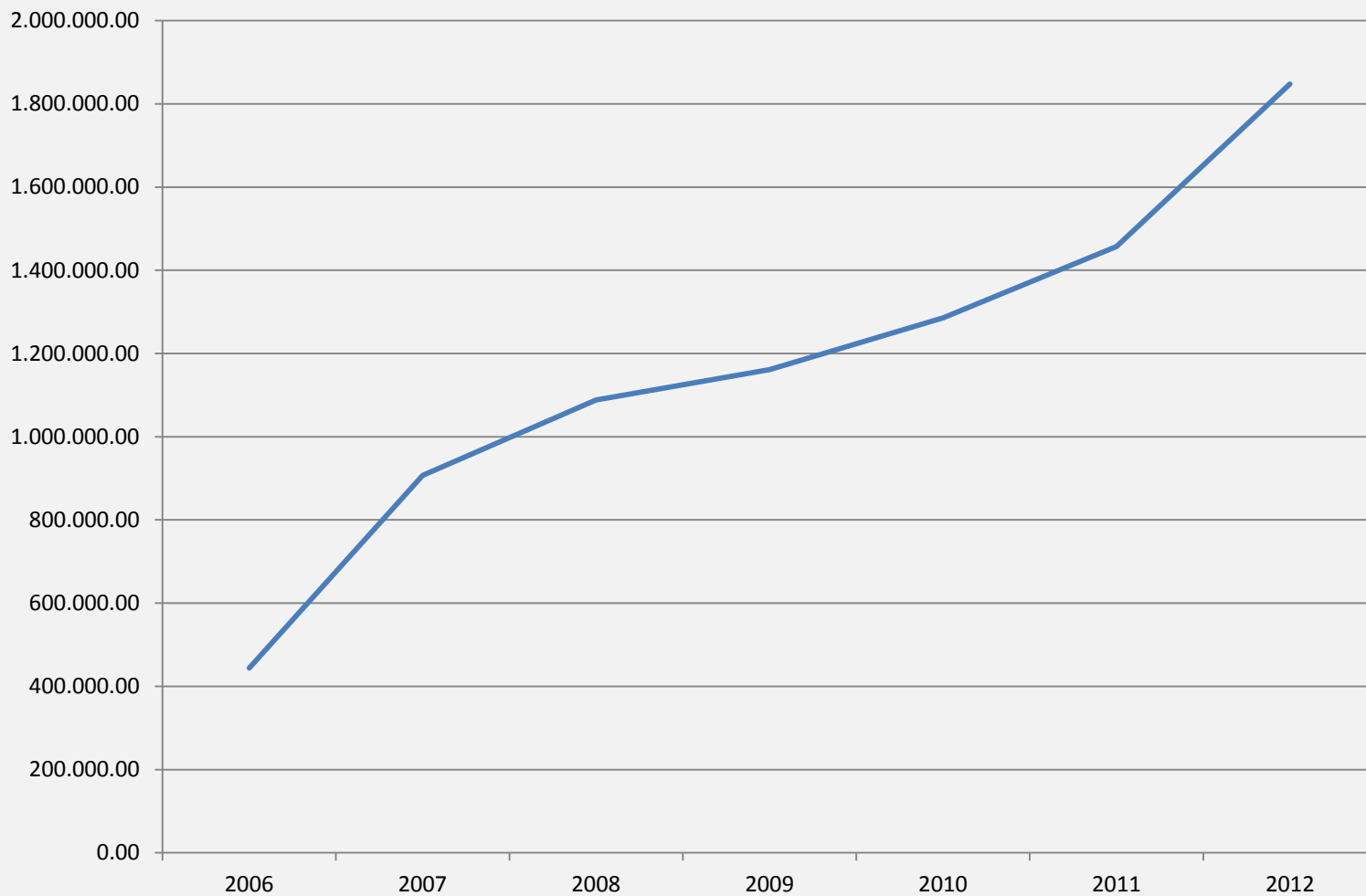
Ten-year Analysis of University of Minho Green OA Self-Archiving Mandate - Yassine Gargouri, Stevan Harnad, Vincent Larivière [in press]



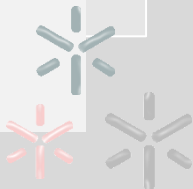
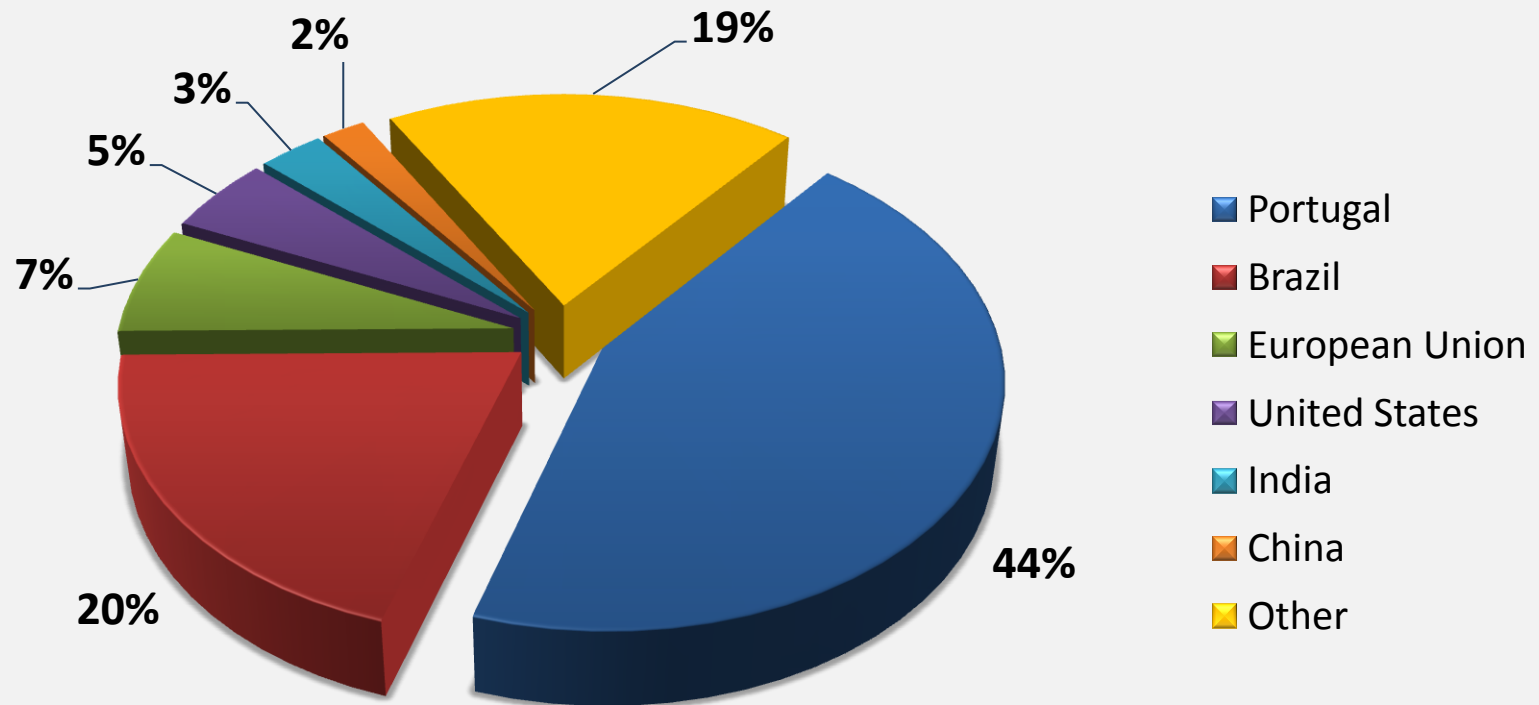
RepositóriUM Usage



Downloads



Downloads origins



Concluding Remarks



- High impact of the upgraded policy on UMinho staff:
 - Number of deposited documents and number of researchers that self-archived is 2 to 3 times higher than before 2011
- The policy had impact not only on publications from 2011 onward, but also on publications from previous years
- Periodic monitoring has been an important tool to secure policy success





Universidade do Minho



Future directions

Open Access is again part of **UMinho Strategic Plan (2013-2020)**

UMinho wants to remain on the frontline of Open Access

RepositóriUM will be the only information source, about publication output, for the University Information System, that will support all reporting, management and evaluation activities in the University



Experimenting with research data curation and access

Thank you!



The screenshot shows the main page of the RepositoriUM website. At the top left, there are language options: English, Spanish, and French. The main header includes the 'RepositoriUM' logo and the text 'Universidade do Minho'. Navigation links for 'Serviços de Documentação', 'Portal de Pesquisa', and 'Catálogo Bibliográfico' are present. A search bar is located in the center, with options for 'Pesquisa simples' and 'Pesquisa avançada', and an 'Enviar' button. Below the search bar, there is a section titled 'Bem-vindos ao RepositoriUM!' with a brief introduction and the website's objective. A 'Documentos mais recentes:' section lists several recent publications. The footer contains contact information, copyright notices, and logos for DSPACE, ICAAP, and DeGóis.

English
Spanish
French

RepositoriUM
Universidade do Minho

Serviços de Documentação Portal de Pesquisa Catálogo Bibliográfico

Sobre o RepositoriUM

Pesquisa simples | Pesquisa avançada

Enviar

Use aspas (") para pesquisar frases, + e - para adicionar ou eliminar palavras e a truncatura (*) para pesquisar palavras com a mesma raiz (educ* recupera educação, education...). [\[Guia completo de ajuda\]](#)

Bem-vindos ao RepositoriUM!

O RepositoriUM - repositório institucional da Universidade do Minho, disponibiliza um acervo crescente das publicações científicas produzidas na instituição.

O objectivo do RepositoriUM é armazenar, preservar, divulgar e dar acesso à produção intelectual da Universidade do Minho em formato digital e maximizar a visibilidade, uso e impacto da sua investigação através do Acesso Livre.

Documentos mais recentes:

- > Sharing some lessons learned from the RepositoriUM
- > A rainha D. Leonor, 1458-1525 : momentos de uma vida
- > Dynamic field theory (DFT) : applications in Cognitive Science and Robotics
- > O vaso de Pandora? a revelação do homo-erotismo à família
- > XATA 2010 XML : associated technologies and applications

Percorrer:
Comunidades & Coleções
Título
Autor
Assunto
Data de publicação
Tipo de documento

Entrar:
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utilizadores autorizados
Editar conta

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